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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/031,856	11/08/2002	Garry E. Jacobs	325.144-US	8550

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EXAMINER

BUSHEY, CHARLES S

ART UNIT PAPER NUMBER

1724

DATE MAILED: 04/02/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/031,856

Applicant(s)

JACOBS ET AL.

Examiner

Scott Bushey

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 March 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 2-22-02.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

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## **DETAILED ACTION**

### ***Election/Restrictions***

1. Applicant's election without traverse of Group I, claims 1-20 as submitted on March 15, 2004 is acknowledged.

### ***Specification***

2. The disclosure is objected to because of the following informalities: Page 1, in the first paragraph under the heading, "Background of the Invention", patent number "6,098,065" should be corrected to read, --6,098,965--.

Appropriate correction is required.

### ***Priority***

3. If applicant desires priority under 35 U.S.C. 119(e) based upon a previously filed provisional application, specific reference to the earlier filed application must be made in the instant application. This should appear as the first sentence of the specification following the title, preferably as a separate paragraph unless it appears in an application data sheet.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1, 5-8, and 12 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Madsen (Fig. 3).

Applicant should note that element (15) in Fig. 3 of the reference clearly anticipates applicant's divider as broadly recited by the instant claims. Also, with respect to the recitation of the intended use, i.e., the liquid fluid and gaseous fluid flowing co-currently upwardly in the space between the riser and the cap, as recited by instant claim 1 of the claimed invention, such must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. See *In re Casey*, 152 USPQ 235 (CCPA 1967) and *In re Otto*, 136 USPQ 458, 459 (CCPA 1963).

6. Claims 14-19 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Ballard et al (Figs. 1, 2, 5-7, 13; col. 1, lines 39-44; col. 3, lines 69-71; col. 5, lines 44-46, 48-49, 53-62; col. 8, lines 43-47, 52-57, 63-65; col. 9, lines 9-13; col. 10, lines 17-28).

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later

invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

9. Claims 2-4, 9, and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Madsen.

Madsen as applied above substantially discloses applicant's invention as recited by instant claims 2-4, 9, and 10, except for the specific recitation that the divider has a length of 70% to 100% of the distance between the top of the riser and bottom of the cap, and that the cap has three to six dividers. Madsen does clearly teach a plurality of dividers (15) having a length in excess of 50% of the distance between the top of the riser and bottom of the cap. Wherein such a divider length would clearly affect the flow through the space by segmenting the flow along a majority of the length of the space, thereby reducing turbulence within the space, it would have been obvious to an artisan at the time of the invention, to modify the length of the dividers and the number thereof, as taught by Madsen, to provide the desired level of flow control within the space, such modifications being dictated by the vapor/liquid ratio and the flow volume through the device per unit time.

10. Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ballard et al.

Ballard et al as applied above substantially disclose applicant's invention as recited by instant claim 20, except for the specific recitation that the slot length may be at least 5 inches. Ballard et al does disclose that the slot length may be about  $\frac{1}{2}$  of the length of the cap itself, and that the cap may have a vertical dimension of roughly about 9 inches. Wherein half of roughly 9 inches, is about  $4\frac{1}{2}$  inches, which approximates the slot length of 5 inches as recited by instant claim 20, absent an unexpected showing of criticality it would have been obvious to an artisan at

the time of the invention, to modify the slot length of the Ballard et al apparatus from about 4 ½ inches, as specifically taught by the reference, to 5 inches as recited by instant claim 20, such slot length being dictated by the liquid depth on the tray, as clearly discussed by Ballard et al.

11. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Madsen as applied to claim 1 above, and further in view of Jacobs et al '965.

Madsen as applied above substantially discloses applicant's invention as recited by instant claim 11, except for the swirl director attached to the riser.

Jacobs et al '965 (Figs. 16-19; col. 21, lines 22-61, 66, 67 ; col. 22, lines 1-8) disclose swirl director means (460) attached to the riser of a bubble cap. Wherein the dividers of Madsen provide a more uniform flow within the space between the riser and the cap, it would have been obvious to an artisan at the time of the invention, to modify the bubble cap of Madsen to include swirl directors, in view of Jacobs et al '965, since such would further enhance the uniformity of flow within the bubble cap, as taught by Jacobs et al '965 (note col. 21, lines 57-59).

12. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Madsen as applied to claim 1 above, and further in view of either Ballard et al or the articles by William L. Bolles as admitted by applicant as prior art at page 2, paragraph 1 within the instant specification.

Madsen as applied above substantially discloses applicant's invention as recited by instant claim 13, except for the bottom of the cap being at least 1.5 inches from the tray.

Ballard et al and the articles by William L. Bolles, as admitted by applicant, each alternatively teach that it is well known within the art to place the bottom of the cap of a bubble cap at 1.5 inches above the tray surface. It would have been obvious to an artisan at the time of

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the invention, to modify the placement of the bottom of the cap of Madsen to at least 1.5 inches above the tray surface, in view of either of the alternative secondary reference teachings, since as clearly understood in the art, such placement is dictated by the liquid depth on the tray and thus the volumetric flow rate of the liquid within the column.

***Conclusion***


13. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Scott Bushey whose telephone number is (571) 272-1153. The examiner can normally be reached on Monday-Thursday 6:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Blaine R. Copenheaver can be reached on (571) 272-1156. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Scott Bushey  
Primary Examiner  
Art Unit 1724

  
3-30-04

csb  
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